



# DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## SYSTEM AND METHOD FOR THE TARGETED DISTRIBUTION OF DISCOUNT COUPONS OVER A NETWORK

the specification of which (check one): ☒ is attached hereto: ☐ was filed on April 19, 1999 as Application Serial No. 09/294,461 and was amended on (or amended through) (if applicable). I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

### Prior Foreign Application(s)

(Number)	(Country)	(Day/Month/Year Filed)	Priority Claimed	
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.) (Filing Date) (Status - Patented, Pending or Abandoned)

(Application Serial No.) (Filing Date) (Status - Patented, Pending or Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

### POWER OF ATTORNEY

I (we) hereby appoint Bruce L. Adams, Registration No. 25,386, Van C. Wilks, Registration No. 25,027 and Franco S. De Liguori, Registration No. 36,497 whose post office address is: Adams & Wilks, 50 Broadway, 31st Floor, New York, New York 10004, as my (our) attorneys with full power of substitution and revocation, to prosecute this application, and to transact all business in the United States Patent and Trademark Office connected therewith.

Full Name of First or Sole Inventor	Citizenship
Neil Shanman	American
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Thousand Oaks 91362	
State or Country	State or Country
California	
Date	Signature
4-27-99	

☒ See second page for additional joint inventors.

Second Joint Inventor, if any Irwin Pearl	Citizenship American
RESIDENCE Address - Street 25 Nantwick Street	POST OFFICE Address - Street same as residence address
City (Zip) Lido Beach 11561	City (Zip)
State or Country New York	State or Country
Date June 9, 1948	Signature <i>Irwin Pearl</i>

Third Joint Inventor, if any	Citizenship
POST OFFICE Address - Street	POST OFFICE Address - Street
City (Zip)	City (Zip)
State or Country	State or Country
Date	Signature /

Fourth Joint Inventor, if any	Citizenship
RESIDENCE Address - Street	POST OFFICE Address - Street
City (Zip)	City (Zip)
State or Country	State or Country
Date	Signature /

Fifth Joint Inventor, if any	Citizenship
RESIDENCE Address - Street	POST OFFICE Address - Street
City (Zip)	City (Zip)
State or Country	State or Country
te	Signature /

third page for additional joint inventors.

Attorney's Docket No.: S012-3653

Applicants: Neil SHANMAN and Irwin PEARL

Serial No.: 09/294,461

Filed: April 19, 1999

For: SYSTEM AND METHOD FOR THE TARGETED DISTRIBUTION  
OF DISCOUNT COUPONS OVER A NETWORK



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(b))-INDEPENDENT INVENTOR

As a below named inventors, we hereby declare that we qualify as independent inventors as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled SYSTEM AND METHOD FOR THE TARGETED DISTRIBUTION OF DISCOUNT COUPONS OVER A NETWORK

described in

☐ the specification filed herewith.

☒ application Serial No. 09/294,461, filed April 19, 1999.

☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

We have not assigned, granted, conveyed or licensed and are under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which we have assigned, granted, conveyed, or licensed or are under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☒ no such person, concern, or organization

☐ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_


ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

We acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

\_\_\_\_\_  
Neil Shanman

  
\_\_\_\_\_  
Signature of Inventor

Date 4-27-99

\_\_\_\_\_  
Irwin Pearl

  
\_\_\_\_\_  
Signature of Inventor

Date 06-09-99